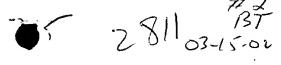
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IN THE UNITED STATES ATENT AND TRADEMARK OFFICE

Applicant:

Daniel Xu

Serial No.:

09/975,163

Filed:

October 11, 2001

For:

Forming Tapered Lower Electrode

Phase-Change Memories

Group Art Unit:

2811

Examiner:

Atty. Dkt. No.:

ITO.0008US

(P12501)

Commissioner for Patents Washington DC 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant submits the references listed on the attached form PTO 1449, copies of which are enclosed.

This statement is being filed before the receipt of a first office action on the merits. Please apply any charges or credits to Deposit Account 20-1504.

Respectfully submitted,

PATENT TRADEMARK OFFICE

Timothy N. Trop

Registration No. 28,994

TROP, PRUNER & HU, P.C.

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Date of Deposit: I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, Washington DC 20231.

Lisa O'Sullivan

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